ANNEX A GOVERNMENT PROCUREMENT POLICY BOARD Agency Procurement Compliance and Performance Indicator (APCPI) Self-Assessment Form

Name of Agency: BATAAN PENINSULA STATE UNIVERSITY Date of Self Assessment: December 2021

Name of Evaluator: NELSON N. DE DIOS Position: Head - Procurement Office

No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation Form
_	AR I. LEGISLATIVE AND REGULATORY FRAMEWORK ator 1. Competitive Bidding as Default Method of Procuremen	•			
maic		•			
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	73.40%	1.00		PMRs
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	2.20%	0.00		PMRs
Indic	ator 2. Limited Use of Alternative Methods of Procurement				1
2.a	Percentage of shopping contracts in terms of amount of total procurement Percentage of negotiated contracts in terms of amount of	26.60%	0.00		PMRs
2.b	total procurement Percentage of direct contracting in terms of amount of total	0.00%	3.00		PMRs
2.c	procurement	0.00%	3.00		PMRs
2.d	Percentage of repeat order contracts in terms of amount of total procurement	0.00%	3.00		PMRs
2.e	Compliance with Repeat Order procedures	n/a	n/a		Procurement documents relative to conduct of Repeat Order
2.f	Compliance with Limited Source Bidding procedures	n/a	n/a		Procurement documents relative to conduct of Limited Source Bidding
India	ator 2. Compatitiveness of the Bidding Process				
3.a	ator 3. Competitiveness of the Bidding Process Average number of entities who acquired bidding documents	1.76	0.00		Agency records and/or PhilGEPS records
3.b	Average number of bidders who submitted bids	1.59	0.00		Abstract of Bids or other agency records
3.c	Average number of bidders who passed eligibility stage	1.24	1.00		Abstract of Bids or other agency records
3.d	Sufficiency of period to prepare bids	Fully Compliant	3.00		Agency records and/or PhilGEPS records
3.e	Use of proper and effective procurement documentation and technical specifications/requirements	Fully Compliant	3.00		Cost Benefit Analysis, Work Plans, Technical Specifications included in bidding documents
			4.55		
PII I A	AR II. AGENCY INSTITUTIONAL FRAMEWORK AND MANAGEME	Average I	1.55		
	ator 4. Presence of Procurement Organizations				
4.a	Creation of Bids and Awards Committee(s)	Partially Compliant	1.00		Verify copy of Order creating BAC; Organizational Chart; and Certification of Training
4.b	Presence of a BAC Secretariat or Procurement Unit	Fully Compliant	3.00		Verify copy of Order creating BAC Secretariat; Organizational Chart; and Certification of Training
Indic	ator 5. Procurement Planning and Implementation				1
5.a	An approved APP that includes all types of procurement Preparation of Annual Procurement Plan for Common-Use	Compliant	3.00		Copy of APP and its supplements (if any)
5.b	Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Fully Compliant	3.00		APP, APP-CSE, PMR
5.c	Existing Green Specifications for GPPB-identified non-CSE items are adopted	Compliant	3.00		ITBs and/or RFQs clearly indicate the use of green technical specifications for the procurement activity
Indic	ator 6. Use of Government Electronic Procurement System				
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.b	Percentage of contract award information posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
6.c	Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency	100.00%	3.00		Agency records and/or PhilGEPS records
				-	

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Indic	ator 7. System for Disseminating and Monitoring Procurement	Information			
7.a	Presence of website that provides up-to-date procurement information easily accessible at no cost	Fully Compliant	3.00		Identify specific procurement-related portion in the agency website and specific website links
7.b	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Fully Compliant	3.00		Copy of PMR and received copy that it was submitted to GPPB
DII /	AD III DECCLIDEMENT OPERATIONS AND MARKET PRACTICES	Average II	2.80		
	AR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES ator 8. Efficiency of Procurement Processes				
8.a	Percentage of total amount of contracts signed within the assessment year against total amount in the approved APPs	87.39%	3.00		APP (including Supplemental amendments, if any) and PMRs
8.b	Percentage of total number of contracts signed against total number of procurement projects done through competitive bidding	76.47%	0.00		APP(including Supplemental amendments, if any)and PMRs
8.c	Planned procurement activities achieved desired contract outcomes and objectives within the target/allotted timeframe	Fully Compliant	3.00		Agency Procedures/Systems for the conduct of needs analysis or market research, monitoring of timely delivery of goods, works, or services Contracts with amendments and variations
					to order amount to 10% or less
Indic	ator 9. Compliance with Procurement Timeframes				
	Percentage of contracts awarded within prescribed period of	100.000/	2.00		DMDs
9.a	action to procure goods	100.00%	3.00		PMRs
9.b	Percentage of contracts awarded within prescribed period of	87.50%	0.00		PMRs
	action to procure infrastructure projects Percentage of contracts awarded within prescribed period of	_			
9.c	action to procure consulting services	n/a	n/a		PMRs
lua di a	atou 10. Consoity Building for Consumption Borrows I and Bring	to Cootou Doubici	no mate		
inaic	ator 10. Capacity Building for Government Personnel and Priva	te Sector Partici	pants		Samples of forms used to evaluating
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Fully Compliant	3.00		procurement performance on top of or incorporated within the regular assessment for Procurement Personnel
10.b	procurement training and/or professionalization program	0.00%	0.00	seminars/trainings	Ask for copies of Office Orders, training modules, list of participants, schedules of actual training conducted
10.c	The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity	Compliant	3.00		Ask for copies of documentation of activities for bidders
Indic	ator 11. Management of Procurement and Contract Managem	ent Records			
maic	ato: 11. Management of Frocurement and Contract Managem	CITE NECOLUS			Verify actual procurement records and
11.a	The BAC Secretariat has a system for keeping and maintaining procurement records	Fully Compliant	3.00		time it took to retrieve records (should be no more than two hours) Refer to Section 4.1 of User's Manual for list of procurement-related documents for record-keeping and maintenance.
11.b	Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records	Fully Compliant	3.00		Verify actual contract management records and time it took to retrieve records should be no more than two hours
L					
Indic	ator 12. Contract Management Procedures				T
12.a	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance	Fully Compliant	3.00		Verify copies of written procedures for quality control, acceptance and inspection; CPES evaluation formsz
12.b	Timely Payment of Procurement Contracts	On or before 30 days	3.00		Ask Finance or Accounting Head of Agency for average period for the release of payments for procurement contracts
<u> </u>		A	2.25		1
		Average III	2.25		

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No.	Assessment Conditions	Agency Score	APCPI Rating*	Comments/Findings to the Indicators and SubIndicators	Supporting Information/Documentation (Not to be Included in the Evaluation Form
PILL	AR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREM	IENT SYSTEM			
Indi	cator 13. Observer Participation in Public Bidding				
13.a	Observers are invited to attend stages of procurement as prescribed in the IRR	Fully Compliant	3.00		Verify copies of Invitation Letters to CSOs and professional associations and COA (List and average number of CSOs and PAs invited shall be noted.)
Indic	ator 14. Internal and External Audit of Procurement Activities				
	Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits	Not Compliant	0.00		Verify copy of Order or show actual organizational chart showing IAU, auidt reports, action plans and IAU recommendations
14.b	Audit Reports on procurement related transactions	Above 90- 100% compliance	3.00		Verify COA Annual Audit Report on Action on Prior Year's Audit Recommendations
Indic	ator 15. Capacity to Handle Procurement Related Complaints				
	The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements	Fully Compliant	3.00		Verify copies of BAC resolutions on Motion for Reconsiderations, Protests and Complaints; Office Orders adopting mesures to address procurement-related complaints
Indic	ator 16. Anti-Corruption Programs Related to Procurement	F. III.			Verify decreased the effect of the control of the c
16.a	Agency has a specific anti-corruption program/s related to procurement	Fully Compliant	3.00		Verify documentation of anti-corruption program
		Average IV	2.40		
GRA	ND TOTAL (Avarege I + Average II + Average III + Average IV / 4)	2.25		

Summary of APCPI Scores by Pillar

	APCPI Pillars	Ideal Rating	Agency Rating
-1	Legislative and Regulatory Framework	3.00	1.55
П	Agency Insitutional Framework and Management Capacity	3.00	2.80
Ш	Procurement Operations and Market Practices	3.00	2.25
IV	Integrity and Transparency of Agency Procurement Systems	3.00	2.40
	Total (Pillar I+Pillar II+Pillar III+ PillarIV)/4	3.00	2.25



ANNEX B **GOVERNMENT PROCUREMENT POLICY BOARD** CONSOLIDATED PROCUREMENT MONITORING REPORT

Name of Agency: BATAAN PENINSULA STATE UNIVERSITY

Period Covered: CY 2021

	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS	Total No. Of Contracts that incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
1. Public Bidding*	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
	CO 701 2C1 00	-	- 10										
1.1. Goods	60,781,261.00	25	18	50,875,599.34	7	31	30	27	25	18	0	0	18
1.2. Works	164,471,391.27	9	8	150,672,217.34	1	29	24	15	9	8	3	3	7
1.3. Consulting Services	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub-Total	225,252,652.27	34	26	201,547,816.68	8	60	54	42	34	26	3	3	25
2. Alternative Modes	THE RESERVE THE PERSON	District Street							The second districts	THE RESIDENCE OF			Name and Address of the Owner, where the Owner, which is the Owner, where the Owner, which is the
2.1.1 Shopping (52.1 a above 50K)	76,900,301.61	390	368	63,150,825.75						368			
2.1.2 Shopping (52.1 b above 50K)						MUNICIPAL PROPERTY OF THE PERSON NAMED IN COLUMN 1					MANAGEMENT OF THE PARTY.	THE RESERVE OF THE PERSON NAMED IN	
2.1.3 Other Shopping	12,040,938.24	789	789	9,887,169.64								THE RESERVE THE PROPERTY OF PERSONS	
2.2.1 Direct Contracting (above 50K)											THE RESERVE OF THE PERSON NAMED IN		
2.2.2 Direct Contracting (50K or less)						THE REPORT OF THE PARTY OF THE	STATE OF THE PERSON						STATE OF THE PERSON NAMED IN
2.3.1 Repeat Order (above 50K)								CONTRACTOR OF THE PARTY OF THE				CONTRACTOR OF STREET	MICHIGAN DE COMP
2.3.2 Repeat Order (50K or less)								PRINCIPLE IN COLUMN 2 IN COLUM				CONTRACTOR OF STREET	CONTRACTOR OF THE PARTY OF THE
2.4. Limited Source Bidding						(Constitution of the last of							
2.5.1 Negotiation (Common-Use Supplies)											Name of the last o	CONTRACTOR OF STREET	STATE OF THE PERSON NAMED IN
2.5.2 Negotiation (Recognized Government Printers)						THE RESIDENCE OF THE PERSON NAMED IN		Management of the last of the				THE RESERVE AND DESCRIPTION OF THE PERSON NAMED IN COLUMN TWO	AND RESIDENCE AND REAL PROPERTY.
2.5.3 Negotiation (TFB 53.1)												CONTRACTOR OF STREET	
2.5.4 Negotiation (SVP 53.9 above 50K)						STREET, SQUARE, SALES	CHARLES OF THE PARTY OF THE PAR					Manual Street,	Name and Park Street
2.5.5 Other Negotiated Procurement (Others above 50K)								THE RESIDENCE OF THE PERSON NAMED IN	Charles Control of				
2.5.6 Other Negotiated Procurement (50K or less)							STATE OF THE PERSON NAMED IN					A DOMESTIC MANAGEMENT OF THE PARTY OF	Carlo Market State
Sub-Total	88,941,239.85	1,179	1,157	73,037,995.39			THE RESIDENCE OF THE PARTY OF T	Marie Control	0	368		AND DESCRIPTION OF REAL PROPERTY.	
3. Foreign Funded Procurement**							Marie Carlo Inches	THE RESERVE OF THE PERSON NAMED IN	HARMAN TO A STATE OF THE PARTY	Maria de la companya del companya de la companya de la companya del companya de la companya de l			THE RESERVE OF THE PERSON NAMED IN
3.1. Publicly-Bid													Contract of the last of the la
3.2. Alternative Modes									Blind of the San Dall and	Medical Company	THE RESERVE OF THE PERSON		DESCRIPTION
Sub-Total	0.00	0	0	0.00									Market Street
4. Others, specify:						DESCRIPTION OF REAL PROPERTY.			CONTRACTOR DESCRIPTION OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TRANSPORT NAMED IN COLU				
TOTAL	314,193,892.12	1,213	1,183	274,585,812.07		Control of the last			THE RESERVE TO SHARE THE PARTY OF THE PARTY	THE RESERVE OF	THE RESERVE OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAME	Management Street Street	NAME OF TAXABLE PARTY.

^{*} Should include foreign-funded publicly-bid projects per procurement type

MARICRIS Q. GARCIA

NELSON N. DE DIOS

Head - Procurement Unit

GREGORIO J. RODIS, Ph.D.

Head - Procuring Entity

^{**} All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

ANNEX B GOVERNMENT PROCUREMENT POLICY BOARD CONSOLIDATED PROCUREMENT MONITORING REPORT

Name of Agency: BATAAN PENINSULA STATE UNIVERSITY

Period Covered: CY 2021

Column 1	Total Amount of Approved APP	Total Number of Procurement Activities	No. of Contracts Awarded	Total Amount of Contracts Awarded	No. of Failed Biddings	Total No. of Entities who Acquired Bid Docs	Total No. of Bidders who Submitted Bids	Total No. of Bidders who passed Eligibility Stage	No. of Bid Opportunities Posted at PhilGEPS	No. of Contract Award Posted at PhilGEPS	Total No. Of Contracts that Incurred negative slippage	Total No. of contracts with amendments to order or variation orders	No. of Contracts Awarded within prescribed timeframes
1. Public Bidding*	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7	Column 8	Column 9	Column 10	Column 11	Column 12	Column 13	Column 14
1.1. Goods	CO 701 2C1 00	-										The state of the s	Column 14
1.2. Works	60,781,261.00	25	18	50,875,599.34	7	31	30	27	25	18	0	0	18
	164,471,391.27	9	8	150,672,217.34	1	29	24	15	9	8	3		
1.3. Consulting Services	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-		3	7
Sub-Total	225,252,652.27	34	26	201,547,816.68	8	60	54	42	34	0.00	0.00	0.00	0.00
2. Alternative Modes	CONTRACTOR OF THE PARTY OF			NAME OF TAXABLE PARTY.		00	34	42	34	26	3	3	25
2.1.1 Shopping (52.1 a above 50K)	76,900,301.61	390	368	63,150,825.75									MERCHANICAL PROPERTY.
2.1.2 Shopping (52.1 b above 50K)				00,200,023.73	No. of Concession, Name of Street, or other Designation, Name of Street, or other Designation, Name of Street,					368			
2.1.3 Other Shopping	12,040,938.24	789	789	9,887,169.64		Name and Address of the Owner, where							THE RESERVE OF THE PERSON NAMED IN
2.2.1 Direct Contracting (above 50K)			103	3,007,203.04					A STATE OF THE PARTY OF THE PAR		STATE OF THE PARTY NAMED IN	Section 19	THE RESERVE TO SERVE THE PERSON NAMED IN
2.2.2 Direct Contracting (50K or less)					District of the last							HE WAS TO SERVE THE REAL PROPERTY.	
2.3.1 Repeat Order (above 50K)													
2.3.2 Repeat Order (50K or less)											THE RESERVE OF THE PERSON NAMED IN		STATE OF THE PERSON NAMED IN
2.4. Limited Source Bidding												NAME OF TAXABLE PARTY.	BURNING VARIABLES
2.5.1 Negotiation (Common-Use Supplies)												District Control of the least	REAL PROPERTY.
2.5.2 Negotiation (Recognized Government Printers)								COLUMN TO LOCATION					
2.5.3 Negotiation (TFB 53.1)								Real Property lies					
2.5.4 Negotiation (SVP 53.9 above 50K)													
2.5.5 Other Negotiated Procurement (Others above 50K)													
2.5.6 Other Negotiated Procurement (50K or less)													
Sub-Total	88,941,239.85	1,179	1,157	73,037,995.39								THE RESERVE AND PARTY AND PARTY.	Marine Marine Marine
3. Foreign Funded Procurement**			2,237	73,037,333.33				THE RESERVE TO SERVE THE RESERVE	0	368			
3.1. Publicly-Bid	1							THE RESERVE OF THE PARTY OF THE		San Street or other Designation of the last of the las			No. of Concession, Name of Street, or other Designation, or other
3.2. Alternative Modes											PERSONAL PROPERTY.		THE RESIDENCE
Sub-Total	0.00	0	0	0.00									
4. Others, specify:	3.00			0.00									
TOTAL	314,193,892.12	1,213	1,183	274,585,812.07					THE REAL PROPERTY.			THE RESERVE OF THE PARTY OF THE	TO THE OWNER OF THE OWNER OF THE OWNER,

^{*} Should include foreign-funded publicly-bid projects per procurement type

MIRASOL MI. ROSANO

Procurement Staff

MARICRIS Q. GARCIA

ELSON N. DE DIOS

Head - Procurement Office

^{**} All procurement using Foreign Funds excluding National Competitive Bidding (NCB) contracts; conversion to peso will be at BSP rates at the time the bids/quotations were submitted

Mail	No.	Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
indicates 1. Competitive Bilding a poll-ball Method of Procurement Processing of Competitive Deling on Interest Source Deling contracts in Entering of Contracts on Contracts in Processing Contra			0	1	2	3
Processing of compart the biologic grant interest boding continued source biologic contracts in proving of compart from prov	PILL	AR I. LEGISLATIVE AND REGULATORY FRAMEWORK				
services of an incurs of free procurement arrowing of company the bidding and immediatory company in present of duline of total procurement arrowing of company the bidding and immediatory company arrowing of ordinary of total procurement Above 20,00% between 200-39,99% between 200-39,99% between 200-39,99% between 200-39,99% between 200-35,00% between 20	Indi			1	1	
Indicator 2. Limited Use of Alternative Methods of Procurement	1	terms of amount of total procurement	Below 70.00%	Between 70.00-80.99%	Between 81.00-90.99%	Between 91.00-100%
Retween \$100 7.00 % Retween \$100 7.00 % Retween \$100 4.59 % Retween \$200 4.59 % Retween \$200 4.59 % Retween \$200 4.59 % Retween \$200 1.55 00% Retween \$200	2	, ,	Below 20.00%	Between 20.00- 39.99%	Between 40.00-50.00%	Above 50.00%
Retween \$100 7.00 % Retween \$100 7.00 % Retween \$100 4.59 % Retween \$200 4.59 % Retween \$200 4.59 % Retween \$200 4.59 % Retween \$200 1.55 00% Retween \$200	India	cator 2. Limited Lice of Alternative Methods of Procurement				
A Percentage of Interct contracting in terms of amount of total procurement Above 15.00% Between 9.00 + 15.00% Between 9.00 + 15.00% Between 1.00 + 5.00% Be	maic	Lator 2. Limited Ose of Alternative Methods of Procurement				
5 Percentage of repeat order contracting in terms of amount of total procurement Above 4,00% Between 3,00 4,00% Between 3,00 4,00% Between 1,00 2,59% Between 1,00 2,	3	Percentage of shopping contracts in terms of amount of total procurement	Above 7.00%	Between 5.00-7.00 %	Between 3.00-4.99 %	Below 3.00%
Precentage of repeat order contracts in terms of amount of total procurement Above 4.00% Between 1.00-2.99% Between 1.00-2.99 3.00-3.99 4.00-5.99 6.00 and above 1.00-1.99 3.00-9999 3.00-99999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-99999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-9999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-9999999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-99999 3.00-999999 3.00-99999 3.00-999999 3.00-999999 3.00-999999 3.00-999999 3.00-99999 3.00-999	4	Percentage of negotiated contracts in terms of amount of total procurement	Above 15.00%	Between 9.00 -15.00%	Between 4.00-8.99%	Below 4.00%
Complaines with Sepest Groter procedures Not Complaint Not Complaint Complaint	5	Percentage of direct contracting in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
Not Compliant Not Compliant Not Compliant Not Compliant Substantially Compliant Fully Compliant	6	Percentage of repeat order contracts in terms of amount of total procurement	Above 4.00%	Between 3.00-4.00%	Between 1.00-2.99%	Below 1.00%
Indicator 3. Competitiveness of the Bilding Process 3. Average number of entities who acquired bilding documents 3. Below 2.00 3. 00-3.99 4. 00-5.99 5. 00 and above 1. Average number of bilders who passed delightilly stage 8. Below 1.00 1. L00-1.99 2. L00.2.99 3. 00-4.99 3. 00 and above 2. Sufficiency of period to prepare bild Substantially Compliant Fully Compliant Partially Compliant Substantially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant Substantially Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant Ful	7	Compliance with Repeat Order procedures	Not Compliant			Compliant
9 Average number of entities who acquired bidding documents Below 3.00 3.03.39 4.00.599 6.00 and above 10 Average number of bidders who passed eligibility stage Below 1.00 1.00 1.99 2.00.299 3.00.499 5.00 and above 11 Average number of bidders who passed eligibility stage Below 1.00 1.00 1.99 2.00.299 3.00 and above 12 Sufficiency of period to prepare bids Not Compliant Partially Compliant Substantially Compliant Substantially Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Pully Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Substantially Compliant Substantially Compliant Pully Compliant Substantially Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Pully Compliant Substantially Compliant Substantially Compliant Pully Compliant Pully Compliant Pully Percent	8	Compliance with Limited Source Bidding procedures	Not Compliant			Compliant
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11 Average number of bitders who passed eligibility stage 12 Sufficiency of period to prepare bids Not Compliant 13 Sufficiency of period to prepare bids Not Compliant 14 Use of proper and effective procurement documentation and technical 15 Specifications/requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant Substantially Compliant Fully Compliant Not Compliant Pratially Compliant Substantially Compliant Substantially Compliant Compliant Compliant Not Compli	_					
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Specifications/requirements Not Compliant Substantially Complian	12	Sufficiency of period to prepare bids	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 4. Presence of Procurement Organizations 14 Creation of Bids and Awards Committee(s) Not Compliant Partially Compliant Substantially Compliant Fully Compliant 15 Presence of a BAC Secretariat or Procurement Unit Not Compliant Partially Compliant Substantially Compliant Fully Compliant 16 An approved APP that includes all types of procurement 17 Equipment (APP-CS) and Procurement Planning and Implementation 18 Existing Green Specifications for GPPB-Identified non-CSE items are adopted Not Compliant 19 Percentage of bid opportunities posted by the PhilGEPS-registered Agency 20 Percentage of contract award information posted by the PhilGEPS-registered Agency 21 Presence of Website that provides up-to-date procurement Information easily by the PhilGEPS-registered Agency 22 Presence of website that provides up-to-date procurement information easily a consciously a percentage of website that provides up-to-date procurement information easily a consciously and procurement for procurement information easily and provides up-to-date procurement information easily and procurement Monitoring Procurement information easily and procurement information easily and procurement Monitoring Procurement information easily and procurement information easily and procurement Monitoring Procurement information easily and procurement Monitoring Procurem	13		Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 5. Procurement Planning and Implementation 16 An approved APP that includes all types of procurement 17 Equipment (APP-CSE) and Procurement Of Common-Use Supplies and 18 Equipment (APP-CSE) and Procurement Planning and Implementation 18 Existing Green Specifications for GPP8-identified non-CSE items are adopted 19 Percentage of bid opportunities posted by the PhilGEPS-registered Agency 19 Percentage of orintact award information posted by the PhilGEPS-registered Agency 20 Agency 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 22 Below 20.00% 23 Between 20.00-50.99% 24 Between 51.00-80.00% 25 Between 51.00-80.00% 26 Between 51.00-80.00% 27 Percentage of information and information posted by the PhilGEPS-registered Agency 28 Between 51.00-80.00% 29 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 29 Between 51.00-80.00% 20 Between 20.00-50.99% 20 Between 20.00-50.99% 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 20 Between 51.00-80.00% 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 20 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 20 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 20 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 21 Percentage of contract awards procurement information 22 Presence of website that provides up-to-date procurement information 22 Presence of website that provides up-to-date procurement information 22 Presence of website that provides up-to-date procurement information 22 Presence of website that provides up-to-date procurement information 22 Presence of website that provides up-to-date procurement information 23 Prese	Indi	cator 4. Presence of Procurement Organizations		T	T	
Indicator 5. Procurement Planning and Implementation 16 An approved APP that includes all types of procurement 1 17 Equipment (APP-CSE) and Procurement 1 for Common-Use Supplies and Equipment (APP-CSE) and Procurement 1 for Common-Use Supplies and Equipment (APP-CSE) and Procurement 1 for Common-Use Supplies and Equipment from the Procurement Service 18 Existing Green Specifications for GPPB-identified non-CSE items are adopted Not Compliant 19 Percentage of bid opportunities posted by the PhilGEPS-registered Agency 20 Percentage of contract award information posted by the PhilGEPS-registered Agency 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 22 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 32 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 33 Below 20.00% 34 Below 20.005 Degree 20.005 D	14	Creation of Bids and Awards Committee(s)	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
16 An approved APP that includes all types of procurement Preparation of Annual Procurement Plan for Common-Use Supplies and Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment from the Procurement Service 18 Existing Green Specifications for GPPB-identified non-CSE items are adopted Not Compliant Not Compliant Not Compliant Partially Compliant Substantially Compliant Fully Compliant Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant	15	Presence of a BAC Secretariat or Procurement Unit	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
16 An approved APP that includes all types of procurement Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service 18 Existing Green Specifications for GPPB-identified non-CSE items are adopted Not Compliant Not Compliant Not Compliant Partially Compliant Substantially Compliant Fully Compliant Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant	India	rator 5 Procurement Planning and Implementation				
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Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service Not Compliant Substantially Compliant Compliant Compliant Compliant Compliant Compliant Substantially Compliant Substantially Compliant Fully Compliant Fully Compliant Substantially Compliant Substantially Compliant Substantially Compliant Fully Compliant Substantially Compliant Fully Compliant Fully Compliant Substantially Compliant Fully Compliant	10		Not compliant			Compilant
Indicator 6. Use of Government Electronic Procurement System 19 Percentage of bid opportunities posted by the PhilGEPS-registered Agency 20 Percentage of contract award information posted by the PhilGEPS-registered Agency 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 22 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 23 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 24 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 25 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 26 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 27 Percentage of contract awards procurement information 28 Presence of website that provides up-to-date procurement information easily accessible at no cost 29 Percentage of procurement Monitoring Reports using the GPPB-prescribed and procurement Monitoring Reports using the GPPB-prescribed	17	Equipment (APP-CSE) and Procurement of Common-Use Supplies and	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Percentage of bid opportunities posted by the PhilGEPS-registered Agency Below 70.99% Between 71.00-80.99% Between 81.00-90.99% Between 81.00-90.99% Above 91.00% Between 51.00-80.00% Between	18		Not Compliant			Compliant
Percentage of bid opportunities posted by the PhilGEPS-registered Agency Below 70.99% Between 71.00-80.99% Between 81.00-90.99% Above 91.00% Between 51.00-80.00% Above 80.00% Between 51.00-80.00% Between 51.00-80.00% Between 51.00-80.00% Between 51.00-80.00% Between 51.00-80.00% Above 80.00% Between 51.00-80.00% Be				•	•	
20 Percentage of contract award information posted by the PhilGEPS-registered Agency 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency 22 Presence of website that provides up-to-date procurement information easily accessible at no cost 22 Presence of Procurement Monitoring Reports using the GPPS-prescribed	Indi	cator 6. Use of Government Electronic Procurement System				
Agency 21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Indicator 7. System for Disseminating and Monitoring Procurement Information 22 Presence of website that provides up-to-date procurement information easily accessible at no cost Presparation of Procurement Monitoring Reports using the GPPS-prescribed	19	Percentage of bid opportunities posted by the PhilGEPS-registered Agency	Below 70.99%	Between 71.00-80.99%	Between 81.00-90.99%	Above 91.00%
21 Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Percentage of contract awards procured through alternative methods posted be available and posted by the PhilGEPS-registered Agency Percentage of contract awards procured through alternative methods posted below 20.00% Between 20.00 - 50.99% Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through alternative methods posted by the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through a percentage of the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through a percentage of the PhilGEPS-registered Agency Between 51.00-80.00% Above 80.00% Percentage of contract awards procured through a percentage of the Philosophic awards procured through a percentage of the Philosophic awards procured through a percentage of the Philosophic awards procured through a percentage of through a percentage of the Philosophic awards procured t	20		Below 20.00%	Between 20.00- 50.99%	Between 51.00-80.00%	Above 80.00%
Presence of website that provides up-to-date procurement information easily accessible at no cost Not Compliant Partially Compliant Substantially Compliant Fully Compliant	21	Percentage of contract awards procured through alternative methods posted	Below 20.00%	Between 20.00 - 50.99%	Between 51.00-80.00%	Above 80.00%
22 Presence of website that provides up-to-date procurement information easily accessible at no cost Not Compliant Partially Compliant Substantially Compliant Fully Compliant						
accessible at no cost Not Compliant Partially Compliant Substantially Compliant Fully Compliant Preparation of Procurement Monitoring Reports using the GPPR-prescribed	Indi			1	1	
Preparation of Procurement Monitoring Reports using the GPPB-prescribed	22	·	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
format, submission to the GPPB, and posting in agency website Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant	23	Preparation of Procurement Monitoring Reports using the GPPB-prescribed format, submission to the GPPB, and posting in agency website	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

### ACCOUNTED TO PROVIDE TO PROVI	No. Assessment Conditions	Poor/Not Compliant (0)	Acceptable (1)	Satisfactory (2)	Very Satisfactory/Compliant (3)
Telectories of Procurement Processes Processing of Dispute and International Control of the Special Processing of Dispute (1999) Special Processing (1999)		0	1	2	3
militarior S. Efficiency of Procurement Processes graphs within the assessment year graphs and annound consists signed within the assessment year graphs and annound consists signed within the assessment year graphs and the procurement in the approach Africa graphs to the annound in the approach Africa graphs and the annound of the approach africa graphs and the approach approach graphs and the approach approach graphs and the approach africa graphs and the approach graphs a					
Secretary of containing and contracts agreed within the assessment year against total amount of contracts agreed against total amount of contracts and amount of contracts agreed against total amount of contracts agreed against total amount of contracts agreed agre	PILLAR III. PROCUREMENT OPERATIONS AND MARKET PRACTICES				
Secretaria Conference Secr	Indicator 8. Efficiency of Procurement Processes				
Below 90.00% Between 90.00 to 95.99% 100%		Below 40.00% or above 100.00%	Between 40.00- 60.99%	Between 61.00% -80.00%	Above 80.00%
Telectors within the target plantage with Procurement Timeframes Particle Compliance Particle Particle Compliance Particle Part		Below 90.00%	Between 90.00- 92.99%	Between 93.00-95.00%	Above 95.00%
Percentage of contracts awarded within prescribed period of action to procure Soliva 50.00% Between 90.00 to 95.99% Between 90.00 to 95.99% 100%	1 26 1	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Percentage of contracts awarded within prescribed period of action to procure Below 90,00% Between 90,00 to 99,99% Between 90,00 to 99,99% 100%	Indicator Q. Compliance with Procurement Timeframes				
Recording of Contract's awarded within prescribed period of action to procure Below 90.00% Between 90.00 to 95.99% Between 96.00 to 93.99% 1.00%	Percentage of contracts awarded within prescribed period of action to procure	D. J 00 00%	Datus an 00 00 to 05 00%	Datus as 05 00 to 00 00%	100%
settlemental processors and the processor of contracts awarded within prescribed period of action to procure processor of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to procure processors of contracts awarded within prescribed period of action to prescribe period	goods goods	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
Indicator 10. Capacity guilding for Government Personnel and Private Sector Participants Indicator 10. Capacity guilding for Government Personnel and Private Sector Participants There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis Percentage of participation of procurement staff in procurement training Less than 60.00% Trained Between 60.00-75.99% Trained Between 76-90% of staff trained Between 91.00-100% Trained	infrastructure projects	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
Not Compilant Partially Compilant Substantially Compilant Substantially Compilant Partially Compilant Substantially Compilant Partially Comp	1 29 1	Below 90.00%	Between 90.00 to 95.99%	Between 96.00 to 99.99%	100%
30 There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis 31 Percintage of participation of procurement staff in procurement training 32 In the procuring entity has open dialogue with private sector and ensures access and analyze professionalization program 32 The procuring entity has open dialogue with private sector and ensures access and analyze professionalization program 33 Percentage of participation of procurement and contract Management Records 34 In the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity has open dialogue with private sector and ensures access to the procuring entity to the procurement of Procurement and Contract Management Records 35 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 36 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 36 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 37 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management Procedures 38 Implementing Units has and is implementing a	Indicator 10. Capacity Building for Government Personnel and Private Sector Partic	ipants			
Less than 60,00% Trained Between 60,00 75,99% Trained Between 76,90% of staff trained Between 91,00,100% Trained	There is a system within the procuring entity to evaluate the performance of		Partially Compliant	Substantially Compliant	Fully Compliant
The procuring entity has open dialogue with private sector and ensures access to the procurement opportunities of the procuring entity Indicator 11. Management of Procurement and Contract Management Records Indicator 12. Management of Procurement and Contract Management Records Indicator 12. Management of Procurement and Contract Management Records As in pelementing Units has an als implementing a system for keeping and maintaining procurement econds Indicator 12. Contract Management Procedures Agency has defined procedures or standards in such areas as quality control, as a design retrievable contract management records Indicator 12. Contract Management Procedures Agency has defined procedures or standards in such areas as quality control, as a design retrievable contract management records Not Compliant Partially Compliant Partially Compliant Substantially Compliant Fully Compliant Partially Compliant Substantially Compliant Fully Compliant F	Percentage of participation of procurement staff in procurement training	Less than 60.00% Trained	Between 60.00-75.99% Trained	Between 76-90% of staff trained	Between 91.00-100% Trained
133 The BAC Secretariat has a system for keeping and maintaining procurement reality Compliant Partially Compliant Substantially Compliant Fully Compliant 34 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 134 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 135 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 136 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 137 Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance 138 Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance and evaluation of performance and evaluation of performance and evaluation of performance and evaluation in Public Bibliding 138 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant 139 Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliance 140 The Procurement Related Complaints 150 The Procurement Related Complaints 150 The Procurement Related to Procurement Complaints system and has foliator 16. Anti-Corruption Programs Related to Procurement	The procuring entity has open dialogue with private sector and ensures access	Not Compliant			Compliant
133 The BAC Secretariat has a system for keeping and maintaining procurement reality Compliant Partially Compliant Substantially Compliant Fully Compliant 34 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 134 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 135 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 136 Implementing Units has and is implementing a system for keeping and maintaining complete and easily retrievable contract management records 137 Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance 138 Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance and evaluation of performance and evaluation of performance and evaluation of performance and evaluation in Public Bibliding 138 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant 139 Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliance 140 The Procurement Related Complaints 150 The Procurement Related Complaints 150 The Procurement Related to Procurement Complaints system and has foliator 16. Anti-Corruption Programs Related to Procurement					
Indicator 12. Contract Management Procedures Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors' performance After 45 days After 45 days Between 38-45 days Between 31-37 days On or before 30 days PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM Indicator 13. Observer sare invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant Substantially Compliant Fully Compliant	The BAC Secretariat has a system for keeping and maintaining procurement	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Agency has defined procedures or standards in such areas as quality control, as acceptance and inspection, supervision of works and evaluation of contractors' performance 36 Timely Payment of Procurement Contracts After 45 days Between 38-45 days Between 31-37 days On or before 30 days PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM indicator 13. Observer Participation in Public Bidding 37 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Indicator 14. Internal and External Audit of Procurement Activities 38 Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits 39 Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliance Indicator 15. Capacity to Handle Procurement Related Complaints Fully Compliant	Implementing Units has and is implementing a system for keeping and	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Agency has defined procedures or standards in such areas as quality control, asceptance and inspection, supervision of works and evaluation of contractors' performance 36 Timely Payment of Procurement Contracts After 45 days Between 38-45 days Between 31-37 days On or before 30 days PILLAR IV. INTEGRITY AND TRANSPARENCY OF AGENCY PROCUREMENT SYSTEM indicator 13. Observer Participation in Public Bidding 37 Observer Participation in Public Bidding 38 Creation and operation of internal Audit of Procurement Activities Creation and operation of internal Audit Unit (IAU) that performs specialized procurement audits Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliant Fully Compliant	Indicator 12. Contract Management Procedures				
Timely Payment of Procurement Contracts	Agency has defined procedures or standards in such areas as quality control, acceptance and inspection, supervision of works and evaluation of contractors'	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Indicator 13. Observer Participation in Public Bidding 37 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant Indicator 14. Internal and External Audit of Procurement Activities 38 Creation and operation of Internal Audit Unit (IAU) that performs specialized Procurement audits 39 Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Indicator 15. Capacity to Handle Procurement Related Complaints 40 The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant	· ·	After 45 days	Between 38-45 days	Between 31-37 days	On or before 30 days
Indicator 13. Observer Participation in Public Bidding 37 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant Indicator 14. Internal and External Audit of Procurement Activities 38 Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits 39 Audit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Indicator 15. Capacity to Handle Procurement Related Complaints 40 The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant Fully Compliant					
37 Observers are invited to attend stages of procurement as prescribed in the IRR Not Compliant Partially Compliant Substantially Compliant Fully Compliant Indicator 14. Internal and External Audit of Procurement Activities					
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28 Creation and operation of Internal Audit Unit (IAU) that performs specialized procurement audits Not Compliant Partially Compliant Substantially Compliant Fully Compliant	37 Observers are invited to attend stages of procurement as prescribed in the IRR	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
Addit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliance Indicator 15. Capacity to Handle Procurement Related Complaints 40 The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant	Indicator 14. Internal and External Audit of Procurement Activities				
Addit Reports on procurement related transactions Below 60% compliance Between 61-70.99% compliance Between 71-89.99% compliance Above 90-100% compliance Above 90-100% compliance The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant	Creation and operation of Internal Audit Unit (IAU) that performs specialized	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Indicator 16. Anti-Corruption Programs Related to Procurement		Below 60% compliance	Between 61-70.99% compliance	Between 71-89.99% compliance	Above 90-100% compliance
The Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Indicator 16. Anti-Corruption Programs Related to Procurement	Indicator 15 Canacity to Handle Dressyres and Baland Complaints				
40 the capacity to comply with procedural requirements Not Compliant Partially Compliant Substantially Compliant Fully Compliant Fully Compliant Fully Compliant	The Procuring Entity has an efficient procurement complaints system and has		1		
	1 40 1	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant
41 Agency has a specific anti-corruption program/s related to procurement Not Compliant Partially Compliant Substantially Compliant Fully Compliant	Indicator 16. Anti-Corruption Programs Related to Procurement				
	41 Agency has a specific anti-corruption program/s related to procurement	Not Compliant	Partially Compliant	Substantially Compliant	Fully Compliant

Annex D

PROCUREMENT CAPACITY DEVELOPMENT ACTION PLAN TEMPLATE

Name of Agency: BATAAN PENINSULA STATE UNIVERSITY

Period: 2021

Sub-Indicators	Key Area for Development	Proposed Actions to Address Key Areas	Responsible Entity	Timetable	Resources Needed
1.a	Percentage of competitive bidding and limited source bidding contracts in terms of amount of total procurement	Advanced preparation of PPMP,APP	End users,BAC/Bac Secretariat/Procurement Staff/TWG	Immediately until the date of APCPI Submission	PR's,Bidding Docs,Contract and MOA
1.b	Percentage of competitive bidding and limited source bidding contracts in terms of volume of total procurement	Limit AMP(Shopping),Consolidated	End users,BAC/Bac Secretariat/Procurement Staff/TWG	Immediately until the date of APCPI Submission	Bidding Documents,Purchase Order or Procurement Documents
2.a	Percentage of shopping contracts in terms of amount of total procurement	Limit AMP(Shopping),Consolidated	End users,BAC/Bac Secretariat/Procurement Staff/TWG	Immediately until the date of APCPI Submission	Total Purchase Order Serve and Contracts
3.a	Average number of entities who acquired bidding documents	Invite/encourage more bidders	End users,BAC/Bac Secretariat/Procurement Staff/TWG	Immediately until the date of APCPI Submission	PhilGEps Documents Posted
3.b	Average number of bidders who submitted bids	Make a clear or detailed to be discussed or technical specification during of Pre-Bid	End users,BAC/Bac Secretariat/Procurement Staff/TWG	•	Request for Qoutation and Bidding Documents
5.b	Preparation of Annual Procurement Plan for Common-Use Supplies and Equipment (APP-CSE) and Procurement of Common-Use Supplies and Equipment from the Procurement Service	Prepare APP-CSE from the PS	BAC secretariat /Procurement staff	Immediately until the date of APCPI Submission	APP-CSE/APR and Purchase Request
6.a	Percentage of bid opportunities posted by the PhilGEPS- registered Agency	Strict monitoring on PHIIGeps posting	BAC secretariat /Procurement staff	Immediately until the date of APCPI Submission	Bid Bulletin and Invitation to Bid
7.b	Preparation of Procurement Monitoring Reports using the GPPB- prescribed format, submission to the GPPB, and posting in agency website	Prepare PMR using the prescribed format, promptly submit to GPBB & post in the BPSU website	BAC secretariat/ Procurement staff	Immediately until the date of APCPI Submission	Purchase Request
10.a	There is a system within the procuring entity to evaluate the performance of procurement personnel on a regular basis	Customer/Client Feedback/IPCR	HR,Admistrative Services/Procurement Staff/Supplier	, ·	Evaluation Form and Custumer/Client Feedback

Name of Agend Name of Respo	-		A STATE UNIVERSITY N. DE DIOS	Date: Position:	June 22, 2022 BAC Vice Chairperson
		/) mark inside the box beside of the control of the contro		et as provided below and then fi ely.	II in the corresponding blanks
1. Do you have	an approve	ed APP that includes all types o	of procurement, given the follo	wing conditions? (5a)	
✓	Agency	prepares APP using the prescr	ibed format		
✓		ed APP is posted at the Procuring rovide link: https://www.bpsu.edu	•	s/send/335-2022/1618-fy-2021-app-cha	inges-within-2nd-se
✓		sion of the approved APP to the provide submission date:	e GPPB within the prescribed of 27-Jan-22	deadline	
		ual Procurement Plan for Comr se Supplies and Equipment fron			
✓	Agency	prepares APP-CSE using pres	cribed format		
✓	its Guide	sion of the APP-CSE within the elines for the Preparation of Ana e provide submission date:		artment of Budget and Managen ssued annually	nent in
✓	Proof of	actual procurement of Commo	on-Use Supplies and Equipme	nt from DBM-PS	
3. In the conduc	ct of procur	rement activities using Repeat 0	Order, which of these condition	ns is/are met? (2e)	
✓	Original	contract awarded through com	petitive bidding		
✓	_	ds under the original contract nunits per item	nust be quantifiable, divisible a	and consisting of at least	
✓		price is the same or lower than geous to the government after	<u> </u>	through competitive bidding whi	ich is
✓	The qua	ntity of each item in the original	contract should not exceed 2	5%	
✓	original o		•	stated in the NTP arising from the ection and acceptance of the goo	
4. In the conduc	ct of procur	ement activities using Limited S	Source Bidding (LSB), which o	of these conditions is/are met? (2	f)
✓	Upon red	commendation by the BAC, the	e HOPE issues a Certification	resorting to LSB as the proper m	nodality
✓		tion and Issuance of a List of P nent authority	re-Selected Suppliers/Consult	ants by the PE or an identified re	elevant
✓	Transmit	ttal of the Pre-Selected List by	the HOPE to the GPPB		
✓	procurer	cd from the receipt of the acknown of the acknown opportunity at the PhilGEF thin the agency	•	by the GPPB, the PE posts the favailable and at any conspicuou	us
5. In giving you	r prospectiv	ve bidders sufficient period to p	repare their bids, which of the	se conditions is/are met? (3d)	
✓	Bidding of Agency	documents are available at the website;	time of advertisement/posting	at the PhilGEPS website or	
✓	Supplem	nental bid bulletins are issued a	t least seven (7) calendar day	s before bid opening;	
✓	Minutes	of pre-bid conference are read	ily available within five (5) day	s.	
6. Do you prepare the following co		·	umentation and technical spec	cifications/requirements, given the	Э
✓	The end	-user submits final, approved a	nd complete Purchase Reque	sts, Terms of Reference, and ot	her

documents based on relevant characteristics, functionality and/or performance requirements, as required

	by the procurement office prior to the commencement of the procurement activity						
~	No reference to brand names, except	for items/parts that are compatible with the existing fleet or equipment					
✓	Bidding Documents and Requests for Agency website, if applicable, and in a	Proposal/Quotation are posted at the PhilGEPS website, conspicuous places					
7. In creating you	ur BAC and BAC Secretariat which of the	nese conditions is/are present?					
For BAC: (4a)	AC: (4a)						
✓	Office Order creating the Bids and Awards Committee						
	please provide Office Order No.:	University Order PRES.ORD. 2021.031					
✓	There are at least five (5) members o	f the BAC					
	please provide members and their respective training dates: Name/s Date of RA 9184-related training						
	ingr. Alfredo D. Valentos	July 22-23, 2021					
_	/r. Joseph L. Pencil /s. Cristina G. Rivera						
_	Mr. Ferdinand M. Santos	<u>July 22-23, 2021</u> July 22-23, 2021					
E. —		 					
F							
G		-					
✓	Members of BAC meet qualifications						
✓	Majority of the members of BAC are t	rained on R.A. 9184					
For BAC Secr	etariat: (4b)						
✓	Office Order creating of Bids and Awards Committee Secretariat or designing Procurement Unit to act as BAC Secretariat please provide Office Order No.: University Order PRES.ORD. 2021.031 The Head of the BAC Secretariat meets the minimum qualifications						
✓							
	please provide name of BAC Sec H	Head: Mr. Nelson N. De Dios					
✓	Majority of the members of BAC Sec	retariat are trained on R.A. 9184					
	please provide training date:	July 8-9, 2021					
•	ducted any procurement activities on ar mark at least one (1) then, answer the						
✓	Computer Monitors, Desktop Computers and Laptops	Paints and Varnishes					
~	Air Conditioners	Food and Catering Services					
~	Vehicles	Training Facilities / Hotels / Venues					
✓	Fridges and Freezers	Toilets and Urinals					
✓	Copiers	Textiles / Uniforms and Work Clothes					
Do you use gr	een technical specifications for the prod	curement activity/ies of the non-CSE item/s?					
~	Yes	No					
9. In determining	whether you provide up-to-date procu	rement information easily accessible at no cost, which of					
these conditions		,,					
✓	Agency has a working website please provide link:						

^{10.} In complying with the preparation, posting and submission of your agency's Procurement Monitoring Report, which of these conditions is/are met? (7b)

✓	Agency prepares the PMRs						
✓	PMRs are promptly submitted to the GPPB please provide submission dates: 1st Sem - 14/07/2021 2nd Sem - 14/01/2022						
✓	PMRs are posted in the agency website please provide link: https://www.bpsu.edu.ph/index.php/transparency-seal						
✓	PMRs are prepared using the prescribed format						
	11. In planning of procurement activities to achieve desired contract outcomes and objectives within the target/allotted timeframe, which of these conditions is/are met? (8c)						
\checkmark	There is an established procedure for needs analysis and/or market research						
✓	There is a system to monitor timely delivery of goods, works, and consulting services						
✓	Agency complies with the thresholds prescribed for amendment to order, variation orders, and contract extensions, if any, in competitively bid contracts						
12. In evaluating	the performance of your procurement personnel, which of these conditions is/are present? (10a)						
✓	Personnel roles, duties and responsibilities involving procurement are included in their individual performance commitment/s						
✓	Procuring entity communicates standards of evaluation to procurement personnel						
✓	Procuring entity and procurement personnel acts on the results and takes corresponding action						
	e following procurement personnel have participated in any procurement training and/or professionalization program ree (3) years? (10b)						
	Date of most recent training: August 5-6-2021						
	Head of Procuring Entity (HOPE)						
✓	Bids and Awards Committee (BAC)						
✓	BAC Secretariat/ Procurement/ Supply Unit						
✓	BAC Technical Working Group						
✓	End-user Unit/s						
✓	Other staff						
14. Which of the procuring entity?	following is/are practised in order to ensure the private sector access to the procurement opportunities of the (10c)						
✓	Forum, dialogues, meetings and the like (apart from pre-bid conferences) are conducted for all prospective bidders at least once a year						
✓	The PE promptly responds to all interested prospective bidders' inquiries and concerns, with available facilities and various communication channels						

15. In determining whether the BAC Secretariat has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11a)

✓	There is a list of procurement related documents that are maintained for a period of at least five years	
✓	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers	
✓	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel	
16. In determining whether the Implementing Units has a system for keeping and maintaining procurement records, which of these conditions is/are present? (11b)		
✓	There is a list of contract management related documents that are maintained for a period of at least five years	
✓	The documents are kept in a duly designated and secure location with hard copies kept in appropriate filing cabinets and electronic copies in dedicated computers	
✓	The documents are properly filed, segregated, easy to retrieve and accessible to authorized users and audit personnel	
17. In determining if the agency has defined procedures or standards for quality control, acceptance and inspection of goods, works and services, which of these conditions is/are present? (12a)		
✓	Agency has written procedures for quality control, acceptance and inspection of goods, services and works	
Have you procured Infrastructure projects through any mode of procurement for the past year?		
✓	Yes No	
If YES, plea	Yes No No se answer the following:	
If YES, plea		
If YES, plea	se answer the following: Supervision of civil works is carried out by qualified construction supervisors	
If YES, plea	se answer the following: Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: Engr. Alfredo D. Valentos	
✓	Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: Engr. Alfredo D. Valentos Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only) Name of CPES Evaluator: PPES Director / TWG Staff it take for your agency to release the final payment to your supplier/service provider or contractor/consultant,once	
18. How long will documents are c 19.When inviting A. Eli B. Sh C. Pr D. Pr E. Bi	Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: Engr. Alfredo D. Valentos Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only) Name of CPES Evaluator: PPES Director / TWG Staff it take for your agency to release the final payment to your supplier/service provider or contractor/consultant,once	
18. How long will documents are c 19.When inviting A. Eli B. Sh C. Pr D. Pr E. Bi	Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: Engr. Alfredo D. Valentos Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only) Name of CPES Evaluator: PPES Director / TWG Staff it take for your agency to release the final payment to your supplier/service provider or contractor/consultant,once omplete? (12b) Observers for the following procurement activities, which of these conditions is/are met? (13a) igibility Checking (For Consulting Services Only) inortlisting (For Consulting Services Only) e-bid conference eliminary examination of bids devaluation	
18. How long will documents are c 19.When inviting A. Eli B. Sh C. Pr D. Pr E. Bi	Supervision of civil works is carried out by qualified construction supervisors Name of Civil Works Supervisor: Engr. Alfredo D. Valentos Agency implements CPES for its works projects and uses results to check contractors' qualifications (applicable for works only) Name of CPES Evaluator: PPES Director / TWG Staff it take for your agency to release the final payment to your supplier/service provider or contractor/consultant,once omplete? (12b) Observers for the following procurement activities, which of these conditions is/are met? (13a) gibility Checking (For Consulting Services Only) ortlisting (For Consulting Services Only) e-bid conference eliminary examination of bids devaluation ist-qualification	

•	nd operating your Internal Audit Unit (IAU) that performs specialized procurement audits, ditions were present? (14a)
	Creation of Internal Audit Unit (IAU) in the agency Agency Order/DBM Approval of IAU position/s: On- going (OSS implementation)
	Conduct of audit of procurement processes and transactions by the IAU within the last three years
	Internal audit recommendations on procurement-related matters are implemented within 6 months of the submission of the internal auditor's report
21. Are COA recreport? (14b)	commendations responded to or implemented within six months of the submission of the auditors'
✓	Yes (percentage of COA recommendations responded to or implemented within six months) %
✓	No procurement related recommendations received
22. In determining whether the Procuring Entity has an efficient procurement complaints system and has the capacity to comply with procedural requirements, which of conditions is/are present? (15a)	
✓	The HOPE resolved Protests within seven (7) calendar days per Section 55 of the IRR
✓	The BAC resolved Requests for Reconsideration within seven (7) calendar days per Section 55 of the IRR
✓	Procuring entity acts upon and adopts specific measures to address procurement-related complaints, referrals, subpoenas by the Omb, COA, GPPB or any quasi-judicial/quasi-administrative body
23. In determining whether agency has a specific anti-corruption program/s related to procurement, which of these conditions is/are present? (16a)	
✓	Agency has a specific office responsible for the implementation of good governance programs
✓	Agency implements a specific good governance program including anti-corruption and integrity development
✓	Agency implements specific policies and procedures in place for detection and prevention of corruption